



6 steps of investor onboarding

How fund administrators can onboard investors safely

- 1 Initial investor risk assessment and screening**
Assess investor risk using onboarding information to determine whether standard or enhanced due diligence (EDD) is required.
- 2 Identity verification (KYC/KYB)**
Verify identities of individuals and entities, including beneficial owners, using official documents and electronic verification tools.
- 3 PEP, sanctions and adverse media screening**
Screen investors against sanctions, PEP and adverse media lists to identify risks that may require heightened scrutiny.
- 4 Conduct Enhanced Due Diligence (EDD)**
Apply deeper checks and gather additional documentation for higher-risk investors to mitigate any identified risks.
- 5 Risk rating and onboarding approval**
Evaluate all findings, assign a risk rating, and approve onboarding within your firm's defined risk appetite.
- 6 Ongoing monitoring and periodic reviews**
Continuously monitor investors through periodic reviews and rescreening to ensure risk profiles remain up to date.



ID-Pal's suite of KYB and KYC solutions allows fund administration firms to quickly and efficiently automate AML checks on corporate and individual investors with a structured, consistent process.

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